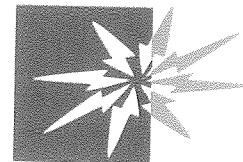


**A Consultation on Proposed Changes to Haringey's School Funding Formula  
and the Scheme for Financing Schools.**

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To: All Headteachers /Chairs of Governors

Your ref:

Our ref: DCYPS/DB

Direct dial: 020 8489 3883

Email: [deborah.bolt@haringey.gov.uk](mailto:deborah.bolt@haringey.gov.uk)

Date: 13 September 2007

Dear Colleague

### **A Consultation on Proposed Changes to Haringey Schools' Funding Formula and the Scheme for Financing Schools**

The attached document sets out the Authority's proposals for changes to Haringey Schools' Funding Formula and the Scheme for Financing Schools to take effect from 1<sup>st</sup> April 2008. These proposals, which form a part of the way in which the Authority meets its statutory duty to keep the scheme under review, need to be seen within the context of:

- *Changing Lives* – The Haringey Children and Young People's Plan 2007/08, in particular Priority One – the improvement in outcomes for vulnerable Children and Young People through implementing strategies that will ensure earlier intervention, and Priority 14 which is about raising standards of achievement for all;
- the Department for Children, Schools and Families (DCSF – formerly the DfES) and Treasury report '*Child Poverty: Fair Funding for Schools*';
- the need to respond to local issues and central directives; particularly the national drive to allocate more funding for deprived areas.

It is recognised that any change to a formula allocation such as this will produce 'winners and losers'; however, the existence of the Minimum Funding Guarantee (MFG) will ensure that each school is guaranteed a minimum increase per pupil (but not necessarily to its total funding package) in 2008 and it is important to bear this in mind when considering the impact of the proposals set out in this document. A response form on which to provide feedback can be found at the end of the document, which needs **to be returned by 7 November 2007**.

It is understood that this is not a straightforward subject to grasp at the first reading and, to assist with this, a series of briefing sessions are being arranged. This will give an opportunity to those headteachers and governors wanting to gain a fuller understanding of the proposals to attend a session to ask any questions that they may have.

Your consultation responses will be considered by the Schools' Forum on 15 November 2007 and a Forum response will also be formulated. A report will then go to the Council's Cabinet in December for the final decision on the proposals.

The most significant of the proposals is the intention to increase the resources allocated to funding deprivation (including additional and some special educational needs) and to change some

of the measures used to allocate the resource. This proposal builds strongly on those set out by the DCSF and the Treasury in the 'Review of Deprivation Funding for Schools' in which is stated, 'Educational outcomes are closely linked to long-term equality of opportunity, and so closing gaps in attainment between different groups is critical.... However, there remains a major gap between the outcomes of children from disadvantaged backgrounds and their peers.'

The Review also concluded that '... further action is necessary to ensure that pupils from low-income families have full equality of opportunity wherever they live'.

The Schools Forum's AEN/SEN Review Group (made up of headteachers, governors and officers) has been mindful of these views during its eighteen-month review of Haringey's funding formula. In particular, it strongly expressed the belief that the amount of the Dedicated Schools Grant (DSG) received through additional deprivation factors (16%) should be allocated to schools using deprivation measures; at present only 8% is allocated in this way.

The acceptance of this proposal will have implications for all schools, with those schools with smaller increases being protected by the MFG, but we do urge you, whatever the initial financial outcome for your school, to be mindful of the 'bigger picture' and the need to pass on funds received for deprivation through factors specifically targeted at deprivation.

The other proposals in this round of consultations are:

- a simplified allocation of funding to support teachers on the upper pay scale that still retains the ability to target resources at schools with the greatest need.
- the expansion of guidance on funding community facilities in the Scheme for Financing Schools.
- a change in the percentage allocation between free and paid school meals in Primary Schools. **(Consultation paper to follow)**
- changes in the number and categories of planned places in Special Schools. **(Consultation paper to follow)**

Please give careful consideration to the proposals set before you. A proposal as significant as this probably warrants a special governors meeting to discuss the implications, but clearly it is at the discretion of each Governing Body to determine how it best responds to the consultation. I regret that I must impose a tight deadline for your response because of the need to report to both the Schools Forum and to the Council's Cabinet before Christmas. Please, therefore, return your comments by **7<sup>th</sup> November 2007** at the latest to: Roland Odell, School Funding Team, 48 Station Road,, Wood Green, London N22 7TY. (e-mail [roland.odell@haringey.gov.uk](mailto:roland.odell@haringey.gov.uk).)

Yours sincerely



Councillor Liz Santry  
Cabinet Member for  
Children and Young People



Sharon Shoesmith  
Director  
The Children and Young People's Service

## **Consultation on the Delegation of Resources for Children with Additional and Special Educational Needs**

### **Frequently Asked Questions.**

- Q. Will schools that do not benefit from the changes have a reduction in the money they receive next year?**
- A. No, unless there are other changes such as a fall in pupil numbers, all schools are guaranteed a minimum increase in funding for next year. The Minimum Funding Guarantee ensures that the amount each school receives per pupil must increase by a minimum percentage.
- Q. But the figures show some schools losing lots of money.**
- A. The figures show which schools will gain or lose relative to others. If a school is shown as a gainer this means that the school's budget share will increase as a proportion of the total budget for schools. If the school is shown as a loser then its budget share will reduce in proportion to the total. However, because the total is expanding and there is a guaranteed minimum increase per pupil, the money that schools receive will not fall (unless there's a significant drop in pupil numbers)
- Q. What will the minimum increase be?**
- A. At the moment we don't know. Last year the Minimum Funding Guarantee was 3.7% per pupil, the indications from the DCSF are that it will reduce in the future. The level of the MFG will be announced during the autumn term.
- Q. When will the changes happen?**
- A. If the proposals are agreed, the changes will begin from April 2008 and be implemented over the three financial years, 2008/09 to 2010/11.
- Q. Who thought up these proposals?**
- A. The drive to change the emphasis on deprivation funding has come from both the government and Haringey schools, through the Schools Forum. A representative group of headteachers, governors and officers drew up the detailed proposals.
- Q. Will the changes mean more money for Haringey Schools in total?**
- A. No, total funding will not increase. The proposals are about how money is distributed between schools.

**Q. Why has this not happened before?**

A. This has been considered before, but recent government action, such as the publication of the report 'Child Poverty : Fair Funding for Schools' has created a national pressure to effectively target deprivation funding.

**Q. What are the advantages of free school meals over the Index of Multiple Deprivation?**

A. The use of free school meals gives a more immediate view of the current levels of deprivation faced by a school's pupils. It is also highly transparent, taken from the school's own PLASC return. The Index of Multiple Deprivation (IMD) uses data that may be up to 10 years old. The IMD also assesses the level of deprivation based on the area a pupil comes from rather than each pupil's specific circumstances.

**Q. What is the evidence that free school meals are better?**

A. This is a subjective area and it is difficult to provide conclusive evidence in favour of either measure. Nevertheless, free school meals remain a widely used factor and the DCSF use it in measuring the impact of deprivation on attainment, it is also used by the DCSF as a factor in the allocation of the School Standards Grant (Personalisation).

**Q. If we use free school meals as a factor, will it be based up on take-up or eligibility?**

A. Eligibility

**Q. Will anything happen if no changes are made?**

A. The DCSF is monitoring the action taken by local authorities to target effectively the deprivation funding they receive at the pupils and schools with the greatest need. The DCSF may require an authority to take further action if insufficient progress is made.

# **Haringey Schools Forum Conference**

## **MEETING PUPIL NEEDS FUNDING FOR THE FUTURE**

**Friday 16<sup>th</sup> November 2007,  
9am - 3.30pm  
Haringey PDC, Downhills Road, Tottenham**

**KEYNOTE & OTHER SPEAKERS  
DISCUSSION GROUPS  
WORKSHOPS**

***Hot lunch and refreshments***

**BOOK THIS DATE NOW!**

**To ensure you get a place  
email [joy.ncube@haringey.gov.uk](mailto:joy.ncube@haringey.gov.uk) or  
call 020 8489 2414 NOW  
Additional details to follow**

***This is the key event of the year for head teachers and  
governors to discuss the future of school funding in  
Haringey.***

**(No conference fee)**

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper**  
**Autumn 2007**

**The Delegation of Resources for Children with Additional and  
Special Educational Needs**

**Scheme for Financing Schools**  
**Section 14 – Community Facilities**

**Funding for Teachers on the Upper Pay Scale.**

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper**  
**Autumn 2007**

**The Delegation of Resources for Children with Additional and Special Educational Needs**

**1. Introduction**

This section of the Consultation Paper sets out proposals to improve arrangements for the delegation and distribution of resources for children with Additional and Special Educational needs (AEN and SEN).

The terms AEN and SEN are taken to include all children and young people for whom some additional or exceptional educational provision is required. Children with statements of severe or complex special educational needs are included within this wider group.

All pupils have individual needs and the majority will make progress through normal curricula and organisational arrangements. A few may need more exceptional arrangements to be made in their physical and learning environments in order for them to realise their potential.

The concept of distributing resources for Additional Educational Needs is based upon the fundamental principle that those children who face the most significant barriers to learning will require additional resources to support progress and achievement. Such children will include those who experience social deprivation, special educational needs, or who are drawn from other vulnerable groups including children from some minority ethnic backgrounds.

There is a strong correlation between deprivation and AEN and moderate levels of SEN and it is usual for deprivation factors to be used as proxy measures in allocating funding to meet these needs. Funding for pupils with more complex SEN is usually associated with a statement of special educational needs.

During the current (2007-8) financial year Haringey Council received 16% of its Dedicated Schools Grant (DSG)<sup>(1)</sup> through additional deprivation factors; this equates to £21.86m within the Individual School Budget (ISB)<sup>(2)</sup>. This funding is passed to schools in full through Haringey's school funding formula but only £11m (8.2%) is allocated through the current deprivation factors, as shown in Appendix 1. It can be concluded, therefore, that schools with high levels of deprivation are not receiving the full benefit of deprivation funding



provided through the DSG. The proposed changes would, if agreed, address this by:

- ensuring that the additional deprivation funding received through the DSG is targeted in full by Haringey's funding formula at deprivation in schools;
- providing a better measure of relative social need by replacing the use of the Index of Multiple Deprivation and stages of English language acquisition as indicators with eligibility for Free School Meals and targeted ethnic minority groups;
- supporting inclusive learning;
- ensuring transparency in the process of allocating resources;
- ensuring that resources are distributed fairly and equitably between schools.

## **2. Reasons for Change**

The current arrangements for distributing resources for AEN and SEN in Haringey, introduced in April 2003, are under review because:

- The arrangements were not fully implemented because of concerns expressed by some headteachers. The concerns prompted the Schools Forum to instigate a further review.
- An overspend in the budget for statemented children in 2005/06 led to budget cuts in 2006/07.
- The Department for Children Families and Schools (DCFS) carried out a national review of deprivation funding, looking in particular at the level of funding distributed through deprivation factors and the factors used. The findings of the review were not prescriptive but did require local authorities and schools forums to review their local funding arrangements.

As a result, the Schools Forum set up the AEN/SEN Review Group to look at the local funding methodology. The group included representatives from:

primary, secondary and special school head teachers,  
primary and secondary school governors,  
the local authority.

The Group identified certain key tasks including:

- a) Recommending the statementing hourly rates for 2007/08 and beyond;
- b) Recommending the threshold for statements of SEN for 2007/08 and beyond;
- c) Recommending what proportion of the Individual Schools Budget (ISB) should be taken up by the AEN/SEN factors;
- d) Considering whether the existing AEN/SEN factors should be revised.
- e) Recommending what factors should be used in AEN/SEN funding;

The Group has regularly reported its proposals to the Schools Forum, which has endorsed the principles proposed. The outline proposals and principles have also been presented to head teachers and SENCOs.

The proposals outlined in this document are the outcome of more than a year's work by the Review Group and embody the following principles.

### **3. Principles**

The Review Group's proposals embody the principles, that:

- Additional deprivation funding received by Haringey through the DSG should be fully allocated through deprivation factors in Haringey's funding formula;
- Specific support for children with statements of special educational needs should be restricted to those with complex needs that cannot be met through formula funding. The Group's proposals, if agreed, would increase the formula allocation to ensure the needs of pupils with less complex needs are more effectively funded;
- Statements for pupils with less complex needs should be phased out.
- That the factors used in Haringey's funding formula should be those that best target deprivation.

The proposals will better target resources at the principles agreed in the 2002 consultation, namely that arrangements for the distribution and delegation of resources should:

- support the raising of standards and achievement, particularly in literacy and numeracy and other key skills, including the development of independence;
- support the inclusion of children and young people within mainstream schools wherever possible;
- support early intervention;
- be flexible enough to provide support for children with complex needs;
- allocate resources to the majority of pupils irrespective of whether or not a Statement of Special Educational Needs is held;
- ensure that the requirements of Statements are met;
- provide whole school funding, so that head teachers are able to deploy resources as efficiently and effectively as possible to raise standards and achievement;
- ensure that resources are distributed transparently and equitably with individual schools clear about the resources available to them and able to see how these relate to the allocations to others;
- avoid undue perverse incentives that can penalise success and reward lack of progress;
- be as stable as possible so that head teachers are able to plan staffing and resource budgets to address needs on an ongoing basis.

#### 4. Implementation Timetable and Impact on Individual Schools.

The implementation of the proposals will not increase the overall funding available but will redistribute resources from the Age Weighted Pupil Unit (AWPU) into AEN/SEN factors. This will move resources into those schools with the highest levels of deprivation and will inevitably reduce the potential formula funded budgets of schools with lower levels of deprivation. In the latter case, a school may be eligible for support through the Minimum Funding Guarantee (MFG). The guarantee ensures that every school receives a minimum annual increase per pupil and because the MFG is contained within the DSG this will act as a brake on the speed with which the proposals can be implemented. The MFG is currently 3.7% but is expected to fall next year.

It is proposed that the pass porting of additional deprivation funding through AEN factors will be achieved as quickly as possible and will be fully in place no later than 1 April 2010. As far as possible this will be achieved by allocating all headroom, defined as the difference between the percentage increase per pupil in the DSG and the percentage increase per pupil in the MFG, to AEN factors. In addition, the funding released by increasing the threshold for statements will be transferred into AEN. However, these measures will not be sufficient to achieve the desired outcome by 2010 and it is estimated that a transfer of £2.5m from AWPU into AEN will be needed. The achievement of this will depend on the DSG and MFG settlements for the next three years and the need to ensure that the MFG is met; a model of how funding may be released for AEN purposes is shown in Appendix 5.

The impact on individual schools' budgets will depend on the interaction of three factors:

- the effect of redistributing resources from the AWPU into AEN/SEN factors;
- the effect of changing the AEN/SEN factors;
- the effect of MFG.

The appendices illustrate the relative shift in resources, that is some schools will be receiving a larger share of the ISB than currently and others a smaller share. But because the ISB will be growing and schools are protected by the MFG school budgets will not fall because of these proposals. School budgets, however, could reduce in cash terms as a result of fewer pupils, but as already stated, **not** because of these proposals.

#### 5. Proposals

The proposals outlined in this document should, if fully implemented, provide an open and transparent means of distributing resources for AEN / SEN to schools in line with the principles set out above. In particular, by providing resources early and usually without the requirement for a Statement, they will better support early intervention and inclusion.

The proposals are:

**5.1 That, in principle, the proportion of deprivation funding that schools receive in the Individual Schools Budget (ISB ) should reflect the proportion of additional deprivation funding received by Haringey Council in the DSG.**

Appendix 3 shows the impact of this proposal for 2008/09 (including MFG) and Appendix 4 the impact on formula funded budgets of fully pass porting deprivation funding (excluding MFG) .

**5.2 That the factors to be used in distributing AEN/SEN Funding will be:**

- Eligibility for Free School Meals. As determined at the time of the January PLASC. Funding to be allocated pro rata to the number of eligible pupils.
- a prior attainment factor to be calculated from end of Key Stage attainment data in Maths, English and Science. Key Stage 1 data would be used to calculate a prior attainment factor for Key Stage 2, Key Stage 2 for Key Stage 3 and Key Stage 3 data for Key Stage 4. This factor will not apply to the infant and early years phases;
- a mobility factor for unplanned admissions calculated on the basis of any children who start at a school 3 months after the majority of their peers;
- a factor to increase the rate of progress of underachieving groups, specifically pupils of African, African-Caribbean, Turkish and Kurdish background. Funding will be allocated pro-rata to the numbers of pupils in these groups.

The proposed percentages applied to these factors in the different phases are:

<b>Phase</b>	<b>FSM</b>	<b>Prior Attainment</b>	<b>Mobility</b>	<b>Targeted Ethnic Minority Groups</b>
	%	%	%	%
Infant & Nursery	50	0	20	30
Junior	40	20	20	20
Secondary	30	30	20	20

Appendix 2 shows the impact of this proposal on individual school budgets.

***5.3 That the threshold for receiving funding for specific statements should be raised by 2.5 hours to 15 hours of special needs assistance support costed at Scale 4 (or a mixture of support of equivalent value).***

A small number of children who attend mainstream schools have very complex special educational needs. Such children will require some individualised specialist support in order to ensure that their opportunities to learn and develop are maximised. Some attend special units or resourced schools where recurrent funding is available. Others attend schools where there are few peers with similar needs. The proposal applies to children with complex needs who do not attend designated specialist units or resourced provision. It ensures that additional resources will continue to be provided for statements above this level to meet the exceptional costs that can be incurred when supporting children with the most complex needs.

## **6. Consultation.**

To respond to the proposals please use the attached Consultation Response Form.

### **Appendices:**

**1. Current AEN/SEN Factors.**

The appendix shows the current percentage allocations of AEN factors. It also shows the amounts, including personalised learning, paid through each factor plus funding for the cost of providing free school meals.

**2. Comparison of Current and Proposed AEN/SEN Factors by School.**

The appendix compares AEN allocations using the current factors, shown in Appendix 1, and the factors proposed in paragraph 5.2 above.

**3. Impact on Budgets of Proposed Changes for 2008/09.**

The appendix shows the indicative impact on 2006/07 budget shares of the movement of resources from AWPU and statements into AEN/SEN factors.

**4. Formula Funded Budgets with Full Implementation of Proposals.**

The appendix illustrates the potential impact on budgets if resources could be immediately moved from AWPU into AEN and there was no MFG or transitional relief in place.

**5. Model of Growth in ISB and Deprivation Funding.**

This is a model of how resources could be moved into AEN factors over the next three years. In reality this will depend upon the DSG and MFG settlements for these years.

**Notes:**

- (1) The Dedicated Schools Grant (DSG) is a ring fenced government grant that must be spent on pupil related expenditure. The majority of the money, 88%, is either delegated to schools at the start of the financial year or retained in contingencies for later delegation.
- (2) The Individual Schools Budget (ISB) is that part of the DSG that is delegated to schools.

### The Allocation of AEN/SEN Funding 2007/08

Table 1 sets out the current percentage allocation of AEN/SEN funding as agreed following the autumn 2002 consultation.

**Table 1: AEN Weightings**

	KS1	KS2	Secondary
Index of Multiple Deprivation (IMD)	60%	20%	20%
Mobility	20%	20%	5%
Acquisition of English Language	20%	20%	25%
Prior Attainment		40%	50%

In addition, specific funding is provided through the Dedicated Schools Grant for personalised learning which is delegated using the IMD and prior attainment factors. With this addition, the total funding allocated through AEN/SEN factors in 2007/08 is shown in Table 2.

**Table 2: AEN Funding 2007/08**

	KS1	KS2	Secondary	Total
Index of Multiple Deprivation (IMD)	£1,347,646	£506,642	£982,194	£2,836,482
Mobility	£302,889	£317,290	£136,589	£756,768
Acquisition of English Language	£302,889	£317,290	£682,945	£1,303,124
Prior Attainment		£905,083	£1,988,515	£2,893,598
Total	£1,953,424	£2,046,305	£3,790,243	£7,789,972
Cost of Providing Free School Meals.	£2,066,001		£1,152,271	£3,218,272
	£6,065,730		£4,942,514	£11,008,244

Comparison of Current and Proposed Funding Factors by School.

Appendix 2

	Current Funding Factors					Proposed Funding Factors					Difference
	IMD	Mobility	EAL	Prior Attainment	Total	FSM	Mobility	TEMG	Prior Attainment	Total	
	£	£	£	£	£	£	£	£	£	£	£
Alexandra Primary	25,723	20,456	10,087	17,889	74,155	36,477	23,293	15,920	8,460	84,149	9,994
Belmont Infant	32,509	11,921	9,644		54,073	18,914	13,574	7,163	0	39,651	-14,422
Belmont Junior	11,309	7,893	11,327	26,283	56,813	21,797	8,988	7,651	12,429	50,866	-5,947
Bounds Green Infant	36,295	17,913	12,414		66,621	32,240	20,398	14,564	0	67,202	581
Bounds Green Junior	11,839	11,707	11,496	27,728	62,770	25,430	13,331	11,183	13,112	63,056	286
Broadwater Farm Primary	77,268	23,081	31,660	38,406	170,415	69,342	26,283	48,164	18,162	161,951	-8,465
Bruce Grove Primary	60,322	30,757	26,427	35,351	152,858	67,408	35,023	38,092	16,717	157,240	4,382
Campsbourne Infant	28,554	13,203	6,771		48,528	29,231	15,035	11,460	0	55,726	7,198
Campsbourne Junior	10,922	5,737	7,016	13,348	37,023	19,282	6,532	8,593	6,312	40,719	3,697
Chestnuts	44,432	17,444	21,544	28,966	112,386	59,584	19,864	33,477	13,698	126,623	14,237
Coldfall Primary	27,601	17,328	12,453	18,371	75,752	22,010	19,731	10,566	8,687	60,995	-14,757
Coleraine Park Primary	51,796	24,909	21,840	40,195	138,740	61,196	28,364	39,521	19,008	148,088	9,348
Coleridge Primary	30,577	10,233	5,626	15,068	61,503	12,166	11,652	4,258	7,126	35,201	-26,302
Crowland Primary	47,415	17,625	17,560	29,035	111,635	33,295	20,070	20,524	13,731	87,620	-24,016
Devonshire Hill Primary	62,320	25,379	25,535	28,278	141,513	99,607	28,900	37,974	13,373	179,853	38,340
Downhills Primary	51,787	35,941	26,753	22,114	136,595	70,847	40,926	31,305	10,457	153,536	16,941
Earlham Primary	47,670	40,347	27,164	33,095	148,275	62,378	45,943	27,176	15,650	151,147	2,872
Earlsmead Primary	57,871	21,918	21,894	26,627	128,310	56,918	24,958	35,129	12,592	129,597	1,287
Ferry Lane Primary	26,490	7,267	12,133	18,371	64,260	28,008	8,275	15,550	8,687	60,520	-3,741
The Green CE Primary	31,585	5,351	8,276	11,353	56,564	20,441	6,093	27,777	5,369	59,680	3,116
Highgate Primary	23,616	22,264	10,799	15,481	72,159	26,524	25,352	10,321	7,321	69,518	-2,641
Lancasterian Primary	65,938	18,301	22,733	17,201	124,172	69,729	20,839	35,694	8,134	134,397	10,224
Lea Valley Primary	67,204	23,437	23,856	30,962	145,458	77,467	26,688	45,823	14,642	164,619	19,161
Lordship Lane Primary	84,359	34,889	38,856	40,801	198,906	113,170	39,729	50,339	19,294	222,532	23,627
Mulberry Primary	85,730	40,381	39,954	65,088	231,154	109,495	45,982	48,870	30,780	235,127	3,972
Muswell Hill Primary	25,231	9,922	13,508	12,385	61,046	17,712	11,298	6,406	5,857	41,273	-19,773
Nightingale Primary	52,400	25,127	15,996	25,801	119,325	68,998	28,612	38,834	12,201	148,646	29,321
Noel Park Primary	65,887	31,503	27,984	37,980	163,355	86,173	35,873	43,933	17,960	183,939	20,584
North Harringay Primary	54,661	16,885	24,284	23,256	119,086	62,055	19,227	33,746	10,997	126,026	6,940
Our Lady of Muswell RC Primary	21,908	12,021	6,754	9,151	49,834	13,305	13,688	5,108	4,327	36,429	-13,405
Rhodes Avenue Primary	23,763	8,497	4,793	7,431	44,483	4,664	9,676	1,534	3,514	19,388	-25,096
Risley Avenue Primary	95,533	29,410	33,363	51,259	209,565	121,316	33,489	59,957	24,240	239,002	29,437
Rokesly Infant	40,800	6,855	6,771		54,426	19,344	7,806	9,550	0	36,700	-17,726
Rokesly Junior	14,608	9,147	9,721	29,035	62,511	20,120	10,415	9,064	13,731	53,330	-9,180
St.Aidan's Primary	21,069	4,071	3,163	8,669	36,973	14,703	4,636	5,455	4,100	28,893	-8,080
St.Ann's CE Primary	30,093	6,832	9,501	6,949	53,376	27,943	7,780	20,073	3,286	59,082	5,706
St.Francis de Sales RC Infant	60,110	4,479	16,107		80,695	39,118	5,100	39,633	0	83,851	3,155
St.Francis de Sales RC Junior	22,758	3,131	17,836	18,852	62,577	27,945	3,565	23,542	8,915	63,968	1,391
St Gildas' RC Junior	9,079	2,949	6,509	8,463	27,000	15,929	3,358	5,886	4,002	29,175	2,174
St.Ignatius RC Primary	39,723	7,156	25,376	19,747	92,002	37,466	8,148	35,475	9,338	90,427	-1,575
St.James' CE Primary	12,617	7,904	2,137	4,403	27,062	0	9,001	713	2,082	11,796	-15,266
St.John Vianney RC Primary	25,281	4,201	12,544	5,848	47,874	18,679	4,784	9,722	2,766	35,951	-11,923
St.Martin of Porres RC Primary	15,546	6,408	4,775	5,091	31,821	7,050	7,297	3,434	2,408	20,189	-11,632
St.Mary's CE Infant	33,413	2,970	6,361		42,743	24,503	3,382	15,519	0	43,403	660
St.Mary's CE Junior	10,912	5,223	5,664	17,751	39,550	14,811	5,948	10,123	8,395	39,277	-274
St.Mary's RC Infant	34,397	4,894	13,439		52,730	23,213	5,573	16,474	0	45,259	-7,470
St.Mary's RC Junior	13,772	6,998	13,356	19,196	53,321	22,915	7,968	12,242	9,078	52,203	-1,118
St.Michael's CE Primary N6	21,782	13,166	4,557	11,765	51,272	5,632	14,993	16,253	5,564	42,441	-8,830
St.Michael's CE Primary N22	22,112	7,387	7,346	14,449	51,294	21,194	8,412	2,852	6,833	39,290	-12,004
St.Paul's & All Hallows CE Infant	48,724	5,383	10,772		64,880	23,643	6,130	36,051	0	65,824	944
St.Paul's & All Hallows CE Junior	16,480	4,129	12,511	2,890	36,010	16,208	4,702	22,483	1,367	44,760	8,750
St Paul's RC Primary	24,099	10,410	11,862	14,380	60,751	25,213	11,854	12,338	6,800	56,206	-4,545
St.Peter in Chains RC Infant	21,161	3,218	4,104		28,483	9,457	3,664	3,104	0	16,225	-12,257



**Comparison of Current and Proposed Funding Factors by School.**

**Appendix 2**

	Current Funding Factors					Proposed Funding Factors					Difference
	IMD	Mobility	EAL	Prior Attainment	Total	FSM	Mobility	TEMG	Prior Attainment	Total	
Seven Sisters Primary	73,385	34,769	32,645	58,690	199,489	63,861	39,592	41,306	27,754	172,513	-26,976
South Harringay Infant	33,528	20,061	14,773		68,362	31,811	22,844	15,280	0	69,934	1,572
South Harringay Junior	11,974	19,078	15,638	32,420	79,111	28,504	21,724	10,594	15,331	76,154	-2,957
Stamford Hill Primary	31,575	19,677	9,791	25,457	86,500	32,263	22,406	15,082	12,039	81,790	-4,710
Stroud Green Primary	37,633	16,929	15,761	19,059	89,381	48,406	19,277	26,846	9,013	103,541	14,160
Tetherdown Primary	8,293	1,702	1,087	3,784	14,866	430	1,938	834	1,790	4,991	-9,874
Tiverton Primary	46,050	22,693	20,101	25,801	114,645	57,735	25,841	33,128	12,201	128,904	14,259
Welbourne Primary	61,496	23,546	23,699	30,343	139,083	73,555	26,811	39,168	14,349	153,883	14,800
West Green Primary	30,761	18,837	16,262	11,834	77,694	38,411	21,450	18,765	5,596	84,223	6,529
Weston Park Primary	22,431	5,800	4,383	7,018	39,632	18,035	6,605	6,992	3,319	34,950	-4,682
PRIMARY SCHOOL TOTALS	2,336,175	949,051	949,051	1,169,171	5,403,447	2,425,278	1,080,689	1,344,588	552,892	5,403,447	0
Alexandra Park	69,081	10,226	44,062	156,728	280,097	96,149	45,974	59,081	92,241	293,445	13,348
Fortismere	71,331	11,027	22,101	107,792	212,251	46,595	49,576	31,660	63,441	191,273	-20,979
Gladesmore	153,852	22,530	159,277	305,016	640,675	303,610	101,295	152,931	179,515	737,351	96,676
Highgate Wood	104,590	21,065	96,865	206,359	428,879	93,191	94,706	60,211	121,451	369,560	-59,319
Hornsey	142,351	26,267	148,772	199,405	516,796	183,054	118,096	129,751	117,359	548,260	31,463
John Loughborough	36,864	9,505	5,088	77,242	128,700	21,449	42,733	72,084	45,461	181,727	53,027
Northumberland Park	140,537	19,550	149,986	394,537	704,609	223,362	87,894	132,295	232,202	675,754	-28,855
Park View	136,655	29,166	159,030	384,269	709,121	194,148	131,130	108,267	226,159	659,704	-49,416
St Thomas More	122,336	21,621	138,233	255,150	537,341	112,421	97,208	156,041	150,167	515,836	-21,505
Woodside High	127,028	49,043	176,589	434,398	787,058	209,679	220,492	86,783	255,662	772,617	-14,440
Total Secondary Schools	1,104,625	220,001	1,100,004	2,520,897	4,945,527	1,483,658	989,105	989,105	1,483,658	4,945,527	0
Total Mainstream	3,440,800	1,169,051	2,049,055	3,690,068	10,348,974	3,908,936	2,069,795	2,333,693	2,036,550	10,348,974	0

**Notes:**

This Appendix is based on earlier reports to the AEN/SEN Review Group and Schools Forum and illustrates the allocations using current factors and those proposed in 5.2.

It uses 2006/07 AEN/SEN allocations of £6m plus the £1m reinstated following the recovery of the 2005/06 overspend on statemented pupils plus an assumed movement of £2.5m from AWPU, £0.6m from increasing the threshold for statements and £0.2m from reductions in MFG funding.

Change in School Budgets					
		Transfer of £2.5m from AWP to AEN/SEN Factors Plus Increase in Statemented Threshold to 15 Hours.			
		No Change in Factors		Change in Factors	
DfES		Change in Formula Funded Budget £	Change in Total Budget incl MFG £	Change in Formula Funded Budget £	Change in Total Budget incl MFG £
Alexandra Primary	2078	9,784	9,784	19,778	19,778
Belmont Infant	2003	9,318	9,318	-5,104	-5,104
Belmont Junior	2002	-2,990	-2,990	-8,937	-8,937
Bounds Green Infant	2005	10,403	10,403	10,984	10,984
Bounds Green Junior	2004	8,684	8,684	8,970	8,970
Broadwater Farm Primary	2077	36,748	36,748	28,283	28,283
Bruce Grove Primary	2083	35,882	35,882	40,264	40,264
Campsbourne Infant	2009	7,758	7,758	14,956	14,956
Campsbourne Junior	2008	919	-2,849	4,616	848
Chestnuts	3511	14,893	14,893	29,130	29,130
Coldfall Primary	2029	150	-1,033	-14,607	-1,033
Coleraine Park Primary	2010	25,558	25,558	34,906	34,906
Coleridge Primary	2058	-3,029	-3,029	-29,331	-29,331
Crowland Primary	2075	19,774	19,774	-4,241	-4,241
Devonshire Hill Primary	2015	10,207	10,207	48,547	48,547
Downhills Primary	2087	30,523	30,523	47,464	47,464
Earlham Primary	2080	36,558	36,558	39,430	39,430
Earlsmead Primary	2020	19,791	19,791	21,078	21,078
Ferry Lane Primary	2065	12,928	12,928	9,187	9,187
The Green CE Primary	3301	8,536	8,536	11,652	11,652
Highgate Primary	2022	6,596	6,596	3,954	3,954
Lancasterian Primary	2025	11,550	11,550	21,774	21,774
Lea Valley Primary	2063	10,882	10,882	30,044	30,044
Lordship Lane Primary	2082	25,540	25,540	49,167	49,167
Mulberry Primary	3001	46,703	46,703	50,675	50,675
Muswell Hill Primary	2085	-7,919	-7,919	-27,692	-27,692
Nightingale Primary	2064	20,272	20,272	49,593	49,593
Noel Park Primary	2086	31,315	31,315	51,899	51,899
North Harringay Primary	3512	11,521	1,707	18,461	8,647
Our Lady of Muswell RC Primary	3500	-6,110	-6,110	-19,515	-14,523
Rhodes Avenue Primary	2072	-22,361	-22,361	-47,457	-38,767
Risley Avenue Primary	2084	43,327	43,327	72,764	72,764
Rokesly Infant	2042	2,117	2,117	-15,609	-15,609
Rokesly Junior	2041	-5,819	-5,819	-14,999	-14,999
St.Aidan's Primary	3000	-8,297	-7,399	-16,377	-7,399
St.Ann's CE Primary	3304	7,224	7,224	12,930	12,930
St.Francis de Sales RC Infant	3507	12,190	12,190	15,346	15,346
St.Francis de Sales RC Junior	3501	4,699	4,699	6,090	6,090
St Gildas' RC Junior	3509	-1,326	0	848	0
St.Ignatius RC Primary	3502	12,946	6,623	11,371	5,048
St.James' CE Primary	3303	-2,597	0	-17,863	0
St.John Vianney RC Primary	3510	5,857	5,161	-6,066	0
St.Martin of Porres RC Primary	3508	-1,282	0	-12,914	0
St.Mary's CE Infant	3306	3,144	3,144	3,804	3,804
St.Mary's CE Junior	3305	3,156	0	2,882	0
St.Mary's RC Infant	3505	8,214	8,214	743	743
St.Mary's RC Junior	3503	8,539	8,539	7,421	7,421
St.Michael's CE Primary N6	3302	-20,278	-20,278	-29,108	-29,108
St.Michael's CE Primary N22	3307	8,581	8,581	-3,423	-3,423
St.Paul's & All Hallows CE Infant	3300	9,875	0	10,820	0
St.Paul's & All Hallows CE Junior	3308	1,195	1,195	9,945	9,945
St Paul's RC Primary	3504	12,263	12,263	7,718	7,718
St.Peter in Chains RC Infant	3506	158	0	-12,099	0
Seven Sisters Primary	2088	38,675	38,675	11,699	11,699
South Harringay Infant	2046	14,182	14,182	15,754	15,754

		No Change in Factors		Change in Factors	
		Change in Formula Funded Budget £	Change in Total Budget incl MFG £	Change in Formula Funded Budget £	Change in Total Budget incl MFG £
DfES					
South Harringay Junior	2045	12,263	12,263	9,306	9,306
Stamford Hill Primary	2047	5,767	5,767	1,057	1,057
Stroud Green Primary	2079	-3,059	-3,059	11,101	11,101
Tetherdown Primary	2031	-9,002	-9,002	-18,876	-18,876
Tiverton Primary	2057	22,448	22,448	36,708	36,708
Welbourne Primary	2062	23,131	23,131	37,931	37,931
West Green Primary	2051	9,983	-7,145	16,512	-7,145
Weston Park Primary	2076	-13,256	-13,256	-17,938	-17,938
PRIMARY SCHOOL TOTALS		625,404	579,406	625,404	652,470
Alexandra Park	4036	-20,863	-13,156	-10,347	-13,156
Fortismere	4032	-149,704	-77,645	-166,008	-77,645
Gladesmore	4033	108,760	108,760	200,672	200,672
Highgate Wood	4030	-12,058	-39,227	-65,083	-39,227
Hornsey	4029	34,844	34,844	59,953	59,953
John Loughborough	5900	18,158	18,158	70,963	70,963
Northumberland Park	4031	154,061	154,061	124,436	124,436
Park View	4037	122,770	122,770	74,696	74,696
St Thomas More	4703	62,289	62,289	43,140	43,140
Woodside High	4034	182,042	182,042	167,879	167,879
Total Secondary Schools		500,300	552,896	500,300	611,710
Total Mainstream		1,125,704	1,132,302	1,125,704	1,264,179
Blanche Nevile	7000	0	0	0	0
Moselle	7006	0	0	0	0
Vale	7001	0	0	0	0
William C Harvey	7005	0	0	0	0
Total Specials		0	0	0	0
Total All Schools		1,125,704	1,132,302	1,125,704	1,264,179
Pembury	1000	781	781	781	781
Rowland Hill	1001	440	440	440	440
Woodland Park	1003	-1,221	-1,221	-1,221	-1,221
Total Nurseries		-1	-1	-1	-1
Grand total		1,125,704	1,132,302	1,125,704	1,264,179
<b>Transfer From Contingency to MFG</b>			6,598		138,475

## Notes:

This Appendix is based on earlier reports to the AEN/SEN Review Group and Schools Forum.

It uses 2006/07 AEN/SEN allocations plus the £1m reinstated following the recovery of the 2005/06 overspend on statemented pupils plus an assumed movement of £2.5m from AWPU, £0.6m from increasing the threshold for statements and £0.2m from reduction. The appendix shows the impact on 2006/07 budgets of this movement in resources. The overall growth in resources of £1.125m is due of the £1m SEN savings and the reduction in the MFG from the increase in the statement threshold.

		<b>Transfer of Resources to Achieve 16% delegated Through deprivation Factors.</b>	
		<b>No Change in Factors</b>	<b>Change in Factors</b>
		Change in Formula Funded Budget £	Change in Formula Funded Budget £
DfES			
Alexandra Primary	2078	24,420	41,250
Belmont Infant	2003	10,659	-14,673
Belmont Junior	2002	917	-9,256
Bounds Green Infant	2005	8,509	8,392
Bounds Green Junior	2004	12,940	13,259
Broadwater Farm Primary	2077	63,966	50,629
Bruce Grove Primary	2083	68,049	75,705
Campsbourne Infant	2009	7,123	19,109
Campsbourne Junior	2008	-4,874	1,550
Chestnuts	3511	23,748	48,581
Coldfall Primary	2029	-35,873	-62,724
Coleraine Park Primary	2010	53,291	70,224
Coleridge Primary	2058	-40,649	-86,053
Crowland Primary	2075	25,010	-15,909
Devonshire Hill Primary	2015	28,644	95,247
Downhills Primary	2087	53,655	81,258
Earlham Primary	2080	71,643	74,824
Earlsmead Primary	2020	37,084	39,901
Ferry Lane Primary	2065	20,554	14,661
The Green CE Primary	3301	7,328	13,726
Highgate Primary	2022	-9,259	-15,451
Lancasterian Primary	2025	17,526	36,006
Lea Valley Primary	2063	33,018	67,305
Lordship Lane Primary	2082	45,146	85,878
Mulberry Primary	3001	94,691	102,380
Muswell Hill Primary	2085	-38,727	-73,878
Nightingale Primary	2064	23,016	73,910
Noel Park Primary	2086	54,247	89,939
North Harringay Primary	3512	10,444	22,581
Our Lady of Muswell RC Primary	3500	-47,929	-72,190
Rhodes Avenue Primary	2072	-71,649	-115,680
Risley Avenue Primary	2084	71,817	125,025
Rokesly Infant	2042	-17,045	-47,268
Rokesly Junior	2041	-15,085	-30,711
St.Aidan's Primary	3000	-27,959	-41,441
St.Ann's CE Primary	3304	3,327	13,611
St.Francis de Sales RC Infant	3507	10,333	16,967
St.Francis de Sales RC Junior	3501	-10,981	-8,384
St Gildas' RC Junior	3509	-18,005	-14,459
St.Ignatius RC Primary	3502	7,111	4,236
St.James' CE Primary	3303	-23,160	-50,068
St.John Vianney RC Primary	3510	329	-20,298
St.Martin of Porres RC Primary	3508	-20,192	-40,686
St.Mary's CE Infant	3306	-7,413	-5,605
St.Mary's CE Junior	3305	-6,089	-6,248
St.Mary's RC Infant	3505	7,231	-5,689
St.Mary's RC Junior	3503	8,113	6,016
St.Michael's CE Primary N6	3302	-62,284	-78,419
St.Michael's CE Primary N22	3307	9,657	-10,616
St.Paul's & All Hallows CE Infant	3300	8,641	11,286
St.Paul's & All Hallows CE Junior	3308	-12,586	2,070

		No Change in Factors	Change in Factors
		Change in Formula Funded Budget £	Change in Formula Funded Budget £
DfES			
St Paul's RC Primary	3504	19,236	11,365
St.Peter in Chains RC Infant	3506	-12,678	-33,743
Seven Sisters Primary	2088	75,969	30,205
South Harringay Infant	2046	22,162	23,361
South Harringay Junior	2045	31,517	25,572
Stamford Hill Primary	2047	21,853	13,998
Stroud Green Primary	2079	-11,332	12,753
Tetherdown Primary	2031	-42,278	-59,675
Tiverton Primary	2057	33,434	57,847
Welbourne Primary	2062	43,604	69,867
West Green Primary	2051	22,493	32,858
Weston Park Primary	2076	-31,004	-38,822
PRIMARY SCHOOL TOTALS		625,404	625,404
Alexandra Park	4036	-162,635	-144,536
Fortismere	4032	-438,982	-470,992
Gladesmore	4033	177,987	342,897
Highgate Wood	4030	-124,801	-221,484
Hornsey	4029	-40,489	795
John Loughborough	5900	16,240	113,113
Northumberland Park	4031	353,639	306,029
Park View	4037	243,062	158,673
St Thomas More	4703	91,642	56,722
Woodside High	4034	384,637	359,082
Total Secondary Schools		500,300	500,300
Total Mainstream		1,125,704	1,125,704
Blanche Nevile	7000	0	0
Moselle	7006	0	0
Vale	7001	0	0
William C Harvey	7005	0	0
Total Specials		0	0
Total All Schools		1,125,704	1,125,704
Pembury	1000	1,205	1,919
Rowland Hill	1001	593	1,027
Woodland Park	1003	-1,799	-2,935
Total Nurseries		-1	11
Grand total		1,125,704	1,125,715
<b>Transfer From Contingency</b>			

Notes:

This Appendix does not show the impact of MFG, which will act to limit changes.

The figures are the 2006/07 budgets adjusted to reflect the increase of AEN/SEN funding to 16% of the ISB.

**Assumed Growth in ISB and Deprivation Funding**

	2006/07	2007/08	2008/09	2009/10	2010/11
ISB	125.737m	134.425m	141.600m	149.158m	£157.120m
Specific Deprivation Funding included in ISB and allocated through Haringey's funding formula.	£8.856m	£11.008m	£17.581m	£21.418m	£25.548m
Deprivation FundingTarget %	16%	16%	16%	16%	16%
Deprivation FundingTarget £	£20.362m	£21.858m	£23.024m	£24.253m	£25.548m
Actual Deprivation %	7%	8%	12%	14%	16%
Projected Increase in DSG %			5.3%	5.3%	5.3%
Projected Increase in MFG %			3%	3%	3%
Headroom			£3.142m	£3.310m	£3.487m

**Notes:**

Increase of statemented threshold to 15 hrs (£0.6m transfer of funds) plus transfer of £2.5m from AWPU to AEN/SEN in 2008/09.

To meet the target for deprivation funding in 2008/09 would require a transfer of nearly £6m, but the MFG limits the maximum to £3.1m.

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper**  
**Autumn 2007**

**Scheme for Financing Schools**  
**Section 14 – Community Facilities**

The Scheme for Financing Schools is a statutory document that sets out the financial relationship between the Authority and its schools. The Department for Children, Schools and Families (DCSF) provides a template that each authority tailors to its own needs.

The DCSF in approving Haringey's 2007 Scheme recommended expanding and strengthening Section 14, Community Facilities, for future years. This section deals with the financial implications of using school facilities for community use, the need to account properly for this provision and the prohibition on using the school's budget share to fund community use.

The attached revision, which will replace the existing Section 14, is based on the DCSF's template and upon the experience of other authorities.

## **SECTION 14 COMMUNITY FACILITIES**

### **14.1 Introduction**

Community facilities are defined in the Education Act 2002 as: any facilities or services whose provision furthers any charitable purpose for the benefit of:

- pupils at the school or their families, or
- people who live or work in the locality in which the school is situated.

### **14.2 Controls**

Schools which choose to exercise the power conferred by s.27 (1) of the Education Act 2002 to provide community facilities will be subject to a range of controls:

- The budget share of a school may not be used to fund community facilities – either start-up costs or ongoing expenditure – or to meet deficits arising from such activities. This restriction also applies to any brought forward surplus balances relating to previous years budget shares.
- Regulations made under s.28 (2), if made, can specify activities which may not be undertaken at all under the main enabling power.
- The school is obliged to consult its authority and have regard to advice from the authority.
- The Secretary of State issues guidance to governing bodies about a range of issues connected with exercise of the power, and a school must have regard to that.

However, under s.28(1) of the Education Act 2002, the main limitations and restrictions on the power will be those contained in the Haringey Council scheme for financing schools (made under section 48 of the School Standards and Framework Act 1998).

Schools are therefore subject to prohibitions, restrictions and limitations in the scheme for financing schools.

This section of the scheme does not extend to joint-use agreements; transfer of control agreements, or agreements between the Authority and schools to secure the provision of adult and community learning.



### **14.3 Existing Community Arrangements**

Where a school is already carrying out any of the activities covered by this power, under the terms of an existing funding or management agreement with the LA, then the terms of that agreement continue to apply.

### **14.4 Consultation with the LA**

Section 28(4) of the Education Act 2002 requires that before exercising the community facilities power, governing bodies must consult the authority, and have regard to advice given to them by their authority.

Schools are likely to benefit from informal contacts and advice from officers with the relevant professional expertise well before the formal consultation commences. It would also be helpful to all parties if schools gave the LA notice of their intent to exercise the power in advance of the formal consultation itself.

Formal consultation with the LA will commence when the full consultation material has been submitted in writing and the response period will begin from receipt of the full material. Major uses of the power where services have an annual turnover in excess of £100,000 or capital schemes costing more than £100,000 are involved will lead to the LA providing formal advice in writing (which may be e-mail) within eight weeks. In the case of more minor uses, advice will be provided within six weeks. Subsequently the governing body should inform the LA of the action taken in response to this advice.

The school should provide the following information in the formal consultation document:

- a full business plan for the provision of the proposed community facilities or services covering the first three years of operation;
- in the case of capital projects affecting the existing buildings on the school site and/or the construction of new buildings then the full plans and costing of the works proposed ;
- details of any planning and environmental considerations and evidence of discussions with relevant regulatory agencies;
- details of the progress on consultations with school staff and parents;
- expressions of support from potential user groups, district and parish councils, local community groups, neighbouring schools, business representatives, as appropriate;
- details as to how the facility will be managed and how this relates to the management of the school;

- a statement that the proposed activities will not interfere with the overriding purpose of the school in achieving higher standards for pupils;
- details of any proposed funding agreements with third parties;
- the insurance arrangements proposed.

### **14.5 Funding Agreements**

The provision of community facilities in many schools may be dependent on the conclusion of a funding agreement with a third party which will either be supplying funding and/or taking part on the provision. A very wide range of bodies and organisations are potentially involved.

Any funding agreements with third parties (as opposed to funding agreements with the LA itself) should be submitted to the LA for its comments and advice. Such draft agreements should form part of the consultation with the LA. Schools must have regard to the advice.

Funding agreements with third parties should contain adequate provision for access by the LA to the records and other property of those parties which are held on the school premises in order for the LA to satisfy itself as to the propriety of expenditure on the facilities in question.

However, schools are reminded that if an agreement has been or is to be concluded against the wishes of the LA or has been concluded without informing the LA and is judged to be seriously prejudicial to the interests of the school or the LA, that may constitute grounds for suspension of the right to a delegated budget

### **14.6 Other Prohibitions, Restrictions and Limitations**

Where a school makes a proposal for a community facility which carries significant financial risks, the LA may require that the governing body shall make arrangements for the activity concerned to be carried out through the vehicle of a limited company formed for the purpose, or obtain indemnity insurance for risks associated with the project in question.

### **14.7 Supply of financial information**

Schools which exercise the community facilities power must provide the LA (as part of their quarterly return) with a summary statement, in a form determined by the LA, showing the income and expenditure for the school arising from the facilities in question for the previous six months and on an estimated basis, for the next six months.

If the LA believes there to be cause for concern as to the school's

management of the financial consequences of the exercise of the community facilities power, then it may, after giving notice to the school, require the submission of a recovery plan for the activity in question.

Financial information relating to community facilities will be included in returns made by schools under the Consistent Financial Reporting (CFR) Framework, and these will be relied upon by the LA as its main source of information for the financial aspects of community facilities.

#### **14.8 Audit**

Schools are required to grant access to the school's records connected with exercise of the community facilities power, in order to facilitate internal and external audit of relevant income and expenditure.

In concluding funding agreements with third parties, schools must ensure that such agreements contain adequate provision for access by the LA to the records and other property of those parties held on the school premises, or held elsewhere in so far as they relate to the activity in question, in order for the authority to satisfy itself as to the propriety of expenditure on the facilities in question.

#### **14.9 Treatment of surpluses and deficits**

Schools may retain all surpluses derived from community facilities except where otherwise agreed with a funding provider. When a surplus has been derived after a proper charging of all relevant costs, then the school may carry that surplus over from one financial year to the next as a separate community facilities surplus.

If the school is a community or community special school, and the LA ceases to maintain the school, any accumulated retained income obtained from exercise of the community facilities power reverts to the LA unless otherwise agreed with a funding provider.

Liabilities to third parties incurred in the exercise of this power are a charge on surpluses retained from these activities.

The governing body are liable for any deficit arising from the exercise of the community facilities power. The schools budget share cannot be used to discharge this liability.

#### **14.10 Health and safety matters**

The health and safety provisions of the main scheme also apply to the community facilities power.

The governing body has a responsibility for the costs of securing Criminal Records Bureau clearance for all adults involved in community activities taking place during the school day. Governing bodies would be free to pass on such costs to a funding partner as part of an agreement with that partner.

#### **14.11 Insurance**

It is the responsibility of the governing body to ensure adequate arrangements are made for insurance against risks arising from the exercise of the community facilities power, taking professional advice as necessary. Such insurance should not be funded from the school budget share. The school should seek advice from the LA before finalising any insurance arrangement for community facilities.

A school proposing to provide community facilities should, as an integral part of its plans, undertake an assessment of the insurance implications and costs, seeking professional advice if necessary.

The LA will undertake its own assessment of the insurance arrangements made by a school in respect of community facilities, and if it judges those arrangements to be inadequate, make arrangements itself and charge the resultant cost to the school. Such costs could not be charged to the school's budget share. Such a provision is necessary in order for the LA to protect itself against possible third party claims.

#### **14.12 Taxation**

##### Value Added Tax (VAT)

Schools should seek the advice of the LA and the local VAT office on any issues relating to the possible imposition of Value Added Tax on expenditure in connection with community facilities, including the use of the LA VAT reclaim facility.

##### Employee Costs

Schools are reminded that if any member of staff employed by the school or LA in connection with community facilities at the school is paid from funds held in a school's own bank account (whether a separate account is used for community facilities or not – see section 11), the school is likely to be held liable for payment of income tax and National Insurance, in line with Inland Revenue rules.

##### Construction Industry Scheme (CIS)

14.24 The scheme contains a provision requiring schools to follow authority advice in relation to the Construction Industry Scheme where this is relevant to the exercise of the community facilities power.

### **14.13 Banking**

The regulations relating to banking arrangements detailed in section 3 of the main scheme, also apply to the communities facilities power.

The school must ensure that internal accounting controls are sufficient to maintain separation of funds. This can be achieved using the school's main bank account and the appropriate CFR codes, sub-divided as necessary. Alternatively, schools may set up a deposit bank account for the provision of community facilities.

Where a bank account is set up specifically in relation to the use of the community facilities power, then the account mandate should not imply that the LA is the owner of the funds.

**Haringey Council**  
**Children and Young People's Service**

**Fair Funding Consultation Paper**

**Autumn 2007**

**Funding for Teachers on the Upper Pay Scale.**

**Background.**

Until April 2006, funding for the additional cost of teachers on the Upper Pay Scale was provided (on a reducing scale as a teacher progressed through the upper pay scale) through a specific government grant. From April 2006 funding for this was incorporated within the mainstream Dedicated Schools Grant (DSG).

The Autumn 2005 consultation offered the following three options for UPS funding through the DSG:

1. Retain the status quo;
2. Formula funding through the Age Weighted Pupil Unit (AWPU);
3. A phased move to option 2 from option 1.

The Department for Children, Schools and Families (DCFS, formerly the DfES) favoured the formula approach, but Option 1 was favoured by Haringey schools and incorporated into Haringey's Schools Funding Formula from April 2006.

This year's consultation puts forward an option to retain the advantage of the current method in targeting funding at schools facing the greatest cost pressures whilst giving greater transparency and certainty of funding prior to the start of the financial year.

**Options.**

The two options for consideration are:

**Option 1.**

Use the information provided by schools each January in the 618G return to identify the numbers of teachers on the upper pay scale. Funding would be provided for each teacher based on agreed levels of support averaged over numbers of teachers on the UPS. The Schools Forum will be consulted annually on the sum to be distributed through this factor.

The advantages of this option are:

1. Funds are still targeted at costs, so those schools facing the greatest costs will receive the greatest support.
2. It is administratively simple. The return is completed by all schools so no additional information needs to be provided.
3. It is transparent. Schools can see from their 618G returns their eligible numbers and how much funding they are due.
4. Funding for the year is known in advance allowing for greater certainty when setting and monitoring budgets.
5. The Schools Forum has more direct control over the funds allocated.
6. There is no need for a centrally held contingency so all funds can be delegated at the start of the year.

The disadvantages are:

1. The matching of funding to costs is less precise than the current arrangements.

## **Option 2. Retain the Status Quo.**

At present, funding is distributed as follows:

Prior to the start of the financial year, the numbers of teachers on upper pay scales is established using either SAP payroll data or information provided by schools not using Haringey's payroll service. Eligible funding is calculated as follows:

- a. UPS1 – the difference between UPS1 and M6.
- b. UPS2 – as for a. plus 60% of the difference between UPS2 and UPS1.
- c. UPS3 – as for b. plus 40% of the difference between UPS3 and UPS2.

Oncosts are included in each case and an allowance is made for pay awards.

The resulting sum, plus an allowance for pay awards, for each school is included in the original budget share for the coming year.

In the autumn term payroll data, or information from schools, is obtained and the budget allocations of schools re-assessed to take account of re-gradings and staff movements. Budget adjustments between schools and the contingency are then made where necessary.

The advantages of this option are:

1. It accurately targets funding at costs.

The disadvantages are:

1. It is more complex to administer and requires some schools to provide additional data.

2. The full year allocation for teachers' pay is not known when budgets are being set.
3. It requires the setting aside of a contingency that might or might not be sufficient for in year changes.

**Action Required.**

Please use the attached form to respond on which of the these options you favour, together with any comments you wish to make.



**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper Response Forms**  
**Autumn 2007**

**The Delegation of Resources for Children with Additional and  
Special Educational Needs**

**Scheme for Financing Schools**  
**Section 14 – Community Facilities**

**Funding for Teachers on the Upper Pay Scale.**

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper Response Form**  
**Autumn 2007**

**The Delegation of Resources for Children with Additional and Special Educational Needs**

School Name	
Respondee(s)	

***5.1 Do you agree that, in principle, the proportion of deprivation funding that schools receive in the Individual Schools Budget (ISB ) should reflect the proportion of additional deprivation funding that Haringey receives in the DSG (16%)?***

Yes	
No	
If No, what percentage of the ISB do you think is appropriate	
Status Quo (8%)	
Other – please specify	

Comments:

***5.2 Do you agree that the factors to be used in distributing AEN/SEN Funding should be:***

- Eligibility for Free School Meals. As determined at the time of the January PLASC. Funding to be allocated pro rata to the number of eligible pupils.

Yes	
No	

- a prior attainment factor to be calculated from end of Key Stage attainment data in Maths, English and Science. Key Stage 1 data would be used to calculate a prior attainment factor for Key Stage 2, Key Stage 2 for Key Stage 3 and Key Stage 3 data for Key Stage 4. This factor will not apply to the infant and early years phases;

Yes	
No	

- a factor for unplanned admissions calculated on the basis of any children who start at a school 3 months after the majority of their peers;

Yes	
No	

- a factor to increase the rate of progress of underachieving groups, specifically pupils of African, African-Caribbean, Turkish and Kurdish background. Funding will be allocated pro-rata to the numbers of pupils in these groups.

Yes	
No	

Do you agree that the proposed percentages applied to these factors in the different phases should be:

Phase	FSM	Prior Attainment	Mobility	Targeted Ethnic Minority Groups
	%	%	%	%
Infant & Nursery	50	0	20	30
Junior	40	20	20	20
Secondary	30	30	20	20

Yes	
No	

If No, please indicate below whether you wish to retain the status quo as shown in Appendix 1 or set out the alternative factors/percentages you wish to see used.

Status Quo	
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Phase	Alternative Factors			
	%	%	%	%
Infant & Nursery				
Junior				
Secondary				

Comments:

**5.3 Do you agree that the threshold for receiving funding for specific statements should be set at 15 hours of special needs assistance support costed at Scale 4 (or a mixture of support of equivalent value)?**

Yes	
No	
If No what do you think is an appropriate threshold.	

Comments:

Please complete and return to:

Roland Odell,  
School Funding Team,  
48 Station Road,  
Wood Green,  
London,  
N22 7TY.

e-mail:       roland.odell@haringey.gov.uk

By:           7<sup>th</sup> November 2007

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper Response Form**  
**Autumn 2007**  
**Scheme for Financing Schools**  
**Section 14 – Community Facilities**

School Name	
Respondee(s)	

***The Council proposes to incorporate the attached revised Section 14 within the Scheme for Financing Schools. Please provide below any comments you may have on the inclusion of this revised scheme.***

Comments
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Please complete and return to:

Roland Odell,  
School Funding Team,  
48 Station Road,  
Wood Green,  
London,  
N22 7TY.

e-mail: [roland.odell@haringey.gov.uk](mailto:roland.odell@haringey.gov.uk)

By: 7<sup>th</sup> November 2007

**Haringey Council**  
**Children and Young People's Service**  
**Fair Funding Consultation Paper Response Form**  
**Autumn 2007**  
**Funding for Teachers on the Upper Pay Scale.**

School Name	
Respondee(s)	

***Which option do you support?***

Option 1	
Option 2	
Another option	
If you prefer another option, please give details below..	

Other Options:

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Comments:

--

Please complete and return to:

Roland Odell,  
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48 Station Road,  
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London,  
N22 7TY.

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By:           7<sup>th</sup> November 2007